

Allianz Global Investors – Sustainability Initiatives Overview

Initiative	Allianz Global Investors' Position	Description	Date	Topics	Region/Country of Scope
30% Club France	Member	The purpose of the 30% Club France Investor Group is to engage with Société des Bourses Françaises 120 (SBF120) Index companies on gender diversity to drive systemic change on company boards and within senior management teams and to develop best practices and recommendations to make SBF120 companies more inclusive, diverse and sustainable.	2021 - present	Inclusion and Diversity (I&D)	France
30% Club Germany (new)	Member	The purpose of the 30% Club is to engage with companies on gender diversity to drive systemic change on company boards and within senior management teams and to develop best practices and recommendation.	2023 - present	Inclusion and Diversity (I&D)	Germany
AFG - Association Française de la Gestion financière (French Asset Management Association)	Member	AFG is the French Asset Management Industry Association	since inception (1961) - present	ESG, Corporate Governance	France
	Member Corporate Governance Committee	The Corporate Governance Committee of the AFG aims to promote best governance practices on the French marketplace, improve practices at French issuers and discuss proxy-related issues such as the role of proxy advisors, shareholder activism.	since inception (1961) - present		
	Member Technical Committee Responsible Investment	Standing committee organizes the working groups such as the SRI label or the energy transition law and others Committee aims to be a place for sharing information on current regulations, initiatives, promotion responsible investment.	2019 - present		
Asian Investor Group on Climate Change	Member	The Asia Investor Group on Climate Change (AIGCC) is an initiative to create awareness among Asia's asset owners and financial institutions about the risks and opportunities associated with climate change and low carbon investing.	2018 - present	Climate	Asia
	Member Engagement and Policy Working Group	The work this group undertakes on engagement is complementary and in parallel with company engagement as part of Climate Action 100+. The focus of this working group will initially enable investors to understand how their increasingly important role in policy advocacy can also help drive more ambitious corporate climate action.	2021 - present		
	Member Paris Aligned Investment Working Group	The group focuses on developing investor solutions for facilitating the transition to a net-zero emissions economy in Asia, and to track global progress. The group oversees AIGCC's second annual low carbon investment survey.	2021 - present		
ATNI - Access to Nutrition Initiative	Member	ATNI focuses on developing tools and initiatives that track and drive the contribution made by the food and beverage sector to addressing the world's global nutrition challenges.	2022 - present	Nutrition	Global
BVI - Bundesverband Investment und Asset Management	Member	BVI is the German Investment Funds Association.	2011 - present	ESG	Germany
	Member Committee Sustainability	The committee deals with strategic and technical issues related to sustainable investing, including corporate governance. It accompanies regulatory and political initiatives in the field of sustainable finance, especially at the European level, and evaluates their practical effects.	2020 (since inception) - present		

	Member Working Group Responsible Investing	The working group prepares the association's positions on responsible investing.	2011 - present		
	Member Working Group Corporate Governance	The working group prepares the association's positions on current legislative projects relating to corporate governance at national and European level and develops the BVI analysis guidelines for general meetings.	2015 - present		
	Member Working Group ESG Risk Management	The working group prepares the association's positions on sustainability risks in the risk management processes of fund portfolios and on the management company level.	2020 - present		
Carbon Disclosure Project	Investor Member	International non-profit organization that promotes carbon reporting by requesting information from leading companies about their GHG emissions and other environmental impacts.	2015 - present	Climate	Global
Climate Bonds Initiative	Partner	International, investor-focused not-for-profit. It's the only organization in the world working solely on mobilizing the \$100 trillion bond market for climate change solutions.	2015 - present	Climate	Global
CFLI - Climate Finance Leadership Initiative	Founding Member	CFLI aims to unlock climate finance in emerging markets, thereby mobilizing private capital in order to help finance the transition towards a more sustainable tomorrow.	2019 - present	Climate	Emerging Markets
Council of Institutional Investors	Member	The Council of Institutional Investors is the leading corporate governance network in the US, advocating effective corporate governance practices for US companies and strong shareholder rights and protections.	2017 - present	Corporate Governance	US
	Member Corporate Governance Advisory Council	The Corporate Governance Advisory Council provides input to the board and staff about CII activities that promote effective corporate governance and ways to enhance the value of membership.	2022 - present		
Climate Action 100+	Participant	The Climate Action 100+ is an investor-led initiative to ensure the world's largest corporate greenhouse gas emitters take necessary action on climate change.	2017 - present	Climate	Global
The Conference Board	Member	The Conference Board connects businesses across geographies and provides them with insights that enable them to anticipate what is ahead, improve their performance and better serve society.	2019 - present	Corporate Governance	Global
	Co-Chair Corporate Governance Council	The Council was founded in Europe in recognition of the growing importance attached by investors to the effectiveness of the corporate board. The Council is dedicated to executives with governance interest and responsibility, based in Europe, including corporate secretaries, legal counsel, and institutional investors responsible for corporate governance policies.	2019 - present		
DVFA - Deutsche Vereinigung für Finanzanalyse	Board Member/ Sponsor	DVFA is a professional association of investment professionals in Germany. DVFA sets German industry standards.	2005 - present (AllianzGI as Sponsor) 2008 - present (Board Member)	ESG	Germany
	Member Kommission Sustainable Investing	The DVFA Commission Sustainable Investing addresses how to better integrate Sustainability in analysis and how to address policy and regulation in the German market with a focus on education and formation of analysts.	2018 - present		

	Member Fachausschuss Governance & Stewardship	The DVFA Commission Corporate Governance has set itself the goal of promoting best practice for corporate governance in listed companies. The Commission's activities include addressing current aspects of corporate governance, developing standards and helping to shape relevant regulatory processes.	2015 - present		
European Fund and Asset Management Association	Member Stewardship, Market Integrity, ESG Investment Standing Committee	The Standing Committee's areas of focus are stewardship role of asset managers, market integrity and ESG and Sustainable Investment topics. In particular, the Committee addresses the topics of Shareholders Rights and Obligations, Relationships between asset managers and investee companies (Stewardship), Responsible Investment, ESG Labels, Market Abuse and Sanctions.	2015 - present	ESG	Europe
ELFA - European Leveraged Finance Association	Member	ELFA is the European Leveraged Finance Association, which derived from a former European High Yield Association. The foundation of the association is to have a buy-side group which helps push for improved reporting and governance in the European leveraged finance arena. With regards to topics on ESG, it covers primarily governance, but the association helps educate and promote asset management engagement with issuers on ESG.	2020 - present	ESG, Corporate Governance	Europe
	Member of ESG Committee	The ESG Committee is working to improve disclosure on ESG topics in the leveraged finance market and to develop best practice guidance on ESG disclosures for sub-investment grade corporate borrowers in collaboration with the PRI.	2020 - present	ESG	Europe
FAIR (previously Finansol)	Member	FAIR unifies different stakeholders of social impact finance in France and is the French center of expertise in this field at an international level. FAIR also manages a label, the Finansol label, which distinguishes social savings products from other savings products for the general-public.	2021 - Present	Social impact	France
FAIRR Initiative	Member	FAIRR is an investor network raising awareness of ESG risks and opportunities in intensive animal agriculture, and helping build a more sustainable food system.	2019 - present	ESG	Global
Forum pour l'Investissement Responsable	Member	French Sustainable Investment Forum – membership based organization addressing policy and other ESG topics specific to the French market. Organizes regular events, seminars, workshops, coordinates responses to consultations, etc.	2009 - present	ESG	France
Forum per la Finanza Sostenibile	Participant	Italian Sustainable Investment Forum – membership based organization addressing policy and other ESG topics specific to the Italian market. Organizes regular events, seminars, workshops, coordinates responses to consultations, etc.	2011 - present	ESG	Italy
German, Austrian and Swiss Sustainable Investment Forum	Member	German Sustainable Investment Forum – membership based organization addressing policy and other ESG topics specific to the German market. Organizes regular events, seminars, workshops, coordinates responses to consultations, etc.	2013 - present	ESG	Germany
	Working Group Institutional Impact Investing	Aim of the working group is establishing a market standard for the definition of impact investments for institutional investors across all asset classes.	2023 - present		
France Invest	Member	French association representing private equity (PE) and private debt professionals active in France. France Invest promotes the growth of PE among entrepreneurs, global savings managers, French and European public authorities and opinion leaders.	2021 - present	Impact Investing	France

	Member of Impact Commission	The Commission meets regularly with its members to discuss the issues faced by impact investing players. The Commission assists its members with regulatory issues, financing issues and best practices.			
GBP - Green Bond Principles	Member	The GBP are intended for broad use by the market: they provide issuers guidance on the key components involved in launching a credible Green Bond; they aid investors by ensuring availability of information necessary to evaluate the environmental impact of their Green Bond investments.	2015 - present	Environment	Global
	Member Advisory Council Green Bonds and Social Bonds	The role of the Advisory Council is to advise the Executive Committee, to increase its market awareness and outreach, and to enable further engagement with specific membership categories and observers.	2019 - present		
GFANZ Asia Pacific Network (new)	Member	GFANZ AP Network supports the expansion of net-zero finance in Asia-Pacific and helps to accelerate the region's transition to a net-zero economy.	2023 - present	Climate	Asia
	Working Group on Coal managed phase out – financial viability workstream	The workstream helps remain ahead of the curve with respect to transition in general and transition in Asia in specific, adds depth to our discussion with 3P clients, helps project AllianzGI has a knowledge partner in the region.	2023 - present		
GIIN - Global Impact Investing Network	Member	The GIIN is a nonprofit organization dedicated to increasing the scale and effectiveness of impact investing around the world. They do this by building critical infrastructure and developing activities, education, and research.	2018 - present	Impact Investing	Global
HKGFA - Hong Kong Green Finance Association	Member	The aim and mission of the HKGFA is to gather industry experts and provide policy suggestions to the Hong Kong Special Administrative Region (HKSAR) Government and other regulators in developing green finance in the city.	2022-present	ESG	Hong Kong
	Member ESG Disclosure and Integration Working Group	The ESG Disclosure and Integration Working Group aims to foster green finance development in Hong Kong through promoting and enhancing ESG disclosure and integration with working plans on research, policy consultation, capacity building and cooperation.	2022-present		
	Member Policy Research and Dissemination Working Group	The Policy Research and Dissemination Working Group targets to offer policy recommendation to the HKSAR Government to strengthen Hong Kong's green finance market and the ESG ecosystem.	2022-present		
HKIFA - Hong Kong Investment Funds Association	Member	The HKIFA represents the fund management industry of Hong Kong. It aims to foster the development of the fund management industry in Hong Kong.	2004-present	ESG	Hong Kong
	Member ESG Working Group	The ESG working group brings together fund managers to discuss on current ESG topics in the industry, thereby shaping the development of sustainable investment in Hong Kong.	2021-present		Hong Kong
The Investment Association	Member	A trade body for the UK's asset management industry. We are a member and represented on various working groups.	2015 - present	ESG	UK
ICGN - International Corporate Governance Network	Member	Aims to inspire effective standards of corporate governance to advance efficient markets world-wide. This is achieved through the ICGN Global Governance Principle. Co-chair ICGN's Shareholder Rights Committee.	2015 - present	Corporate Governance	Global
iCI - Initiative Climat International	Member	The iCI represents a collective commitment to understand and reduce carbon emissions of private-equity based companies and secure sustainable investment performance.	2021 - present	Climate	Global

IFRS ISSB Investor Advisory Group	Member of ISSB Investor Advisory Group	The Investor Advisory Group comprises leading asset owners and asset managers who are committed to improving the quality and comparability of sustainability-related disclosure to investors.	2020 - present	ESG	Global
IIGCC - Institutional Investors Group on Climate Change	Member	IIGCC provides investors with a collaborative platform to encourage public policies, investment practices, and corporate behavior that address long-term risks and opportunities associated with climate change.	2016 - present	Climate	Global
	Net Zero Technical Working Group (Under Paris Aligned Investor initiative)	The group contributes to the Paris Aligned Investment Initiative by addressing analytical gaps where further development of methodologies and approaches is required to support implementation of the Framework.	2021 - present		
	Climate Solutions Working Group (Under Paris Aligned Investor initiative)	A dedicated working group to develop best practice and support members to increase investment in climate solutions.	2020 - present		
	Net Zero Engagement Initiative (new)	Net Zero Engagement Initiative (NZEI) aims to scale and accelerate climate-related corporate engagement and to support investors align more of their investment portfolio with the goals of the Paris Agreement.	2023 - present		
	Net Zero Stewardship Working Group	This working group aims to provide a forum to help investors view how best to operationalize 'net zero stewardship' and develop key tools to enable progress and work with proxy advisors to ensure they are ready to support investors.	2021 - present		
JSIF - Japan Sustainable Investment Forum (new)	Member	JSIF is the premier sustainable investing initiative in Japan. It is also a member of the Global Sustainable Investment Alliance.	2023 - present	Sustainable investing	Japan
UK Investor Forum	Underwriting Signatory	An investment industry body set up to facilitate dialogue between UK corporates and their investors	2015-present	ESG	UK
The Investor Mining & Tailings Safety Initiative	Participant	The Investor Mining & Tailings Safety Initiative is an investor led engagement convening institutional investors active in extractive industries including major asset owners and asset managers.	2019 - present	ESG	Global
NSBN - Nasdaq Sustainable Bond Network	Advisory Board Member	NSBN is a global platform that aims to increase transparency and accessibility to environmental, social and sustainability bonds.	2019 - present	Climate	Global
NZAMI - Net Zero Asset Managers Initiative	Member	NZAMI is an international group of asset managers committed to supporting the goal of net zero greenhouse gas emissions by 2050 or sooner, in line with global efforts to limit warming to 1.5 degrees Celsius.	2021 - present	Climate	Global
One Planet Asset Manager Initiative	Member	The One Planet Asset Manager Initiative (OPAM) was launched to support the members of the One Planet Sovereign Wealth Fund (OPSWF) in their implementation of the OPSWF Framework. The goal is to accelerate the understanding and integration of the implications of climate-related risks and opportunities within long-term investment portfolios through sharing of investment practices and expertise.	2021 - present	Climate	Global
	Member of Steering Committee	The steering committee brings together technical leadership and commitment by its participants aiming to further support the implementation of the OPSWF framework. Thereby this group contributes to actively shaping the future of the initiative.	2021 - present		

Platform on Sustainable Finance of the European Union (new)	Participation as part of Allianz SE Membership	The Platform on Sustainable Finance plays a key role in enabling such cooperation by bringing together the best expertise on sustainability from the corporate and public sector, from industry as well as academia, civil society and the financial industry.	2023 - present	Sustainable Investing	European Union
UNPRI - UN Principles for Responsible Investment	Signatory	Global industry wide initiative to mobilize greater integration of ESG factors in day-to-day investment decisions and processes.	2007 - present	ESG	Global
	Member Global Policy Reference Group	The aim of the group is to inform and strengthen PRI's and its signatories' public policy engagement on responsible investment topics, as well as to encourage alignment between its signatories' responsible investment commitments and public policy efforts.	2018 - present		
	Member Private Debt Advisory Committee	The aim of this group is to drive ESG integration in private debt.	2022 - present	Private Markets	
	Member 'Advance' Stewardship Initiative	The overall objective of the Initiative is to advance human rights and positive outcomes for people through investor stewardship. The Initiative will primarily seek change through investors' use of influence with portfolio companies. The following three expectations will be set for engagement focus companies: (1) Fully implement the UNGPs –the guardrail of corporate conduct on human rights, (2) Align their political engagement with their responsibility to respect human rights, (3) Deepen progress on the most severe human rights issues in their operations and across their value.	2022 - present	Human Rights	
Spain Sustainable Investment Forum	Member	The Spanish Sustainable Investment Forum is addressing policy and other ESG topics specific to the Spanish market and organizes regular events, seminars, workshops, etc.	2018 - present	ESG	Spain
Swiss Sustainable Finance	Member	Swiss Sustainable Finance aims to strengthen Switzerland's position as a leading voice and actor in sustainable finance, thereby contributing to a sustainable and prosperous economy.	2021 - present	ESG	Switzerland
TCFD - Taskforce on Climate-related Financial Disclosures	Supporter	The TCFD seeks to develop recommendations for voluntary climate-related financial disclosures that are consistent, comparable, reliable, clear, and efficient, and provide decision-useful information to lenders, insurers, and investors.	2019 - present	Climate	Global
TNFD - Taskforce on Nature-related Financial Disclosures (new)	Member	TNFD is a framework enabling organizations to shift financial flows to nature positive outcomes.	2023 - present	Biodiversity	Global
WBA - World Benchmarking Alliance	Supporter	WBA seeks to generate a movement around increasing the private sector's impact towards a sustainable future for all.	2019 - present	ESG	Global